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PATENT MAINTENANCE  
DIVISION

Attorney Docket No. 4327P005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 AUG -5 AM 8:53

Applicants: Liu He, et al.

Application No. 10/001,639

Filing Date: October 31, 2001

Title: RADIATION CURABLE ANTI-REFLECTIVE  
COATING SYSTEM

Art Unit: Blackwell-Rudasill, G.A.

Examiner: 1775

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Upon review of the above-noted application, Applicants' representative has become aware of an overpayment of fees regarding the RCE and extension of time filing fees that were filed on June 16, 2004. Applicants claim small entity status, however, a check in the amount of \$1,300 for a large entity status was sent instead.

Therefore, Applicants' representative respectfully request that a refund be credited to Deposit Account No. 02-2666 in the amount of \$650 (original payment of \$1,300 - the correct payment of \$650 leaves \$650 overpaid). Please send us confirmation of the credit to our Deposit Account.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/28/04

William Thomas Babbitt  
William Thomas Babbitt, Reg. No. 39,591

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nedy Calderon  
Nedy Calderon

7/28/04  
Date



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Def &amp; Reply 397

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/001,639
		Filing Date	October 31, 2001
		First Named Inventor	Liu He
		Art Unit	1775
		Examiner Name	G.A. Blackwell-Rudasill
Total Number of Pages In This Submission	3	Attorney Docket Number	4327P005

2004 AUG 5 AM 8:53

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of GD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Return receipt postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William Thomas Babbitt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>William T. Babbitt</i>
Date	7/28/04

CERTIFICATE OF MAILING TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Nedy Calderon		
Signature	<i>Nedy Calderon</i>	Date	7/28/04

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (July) 08/04/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. 1450  
Alexandria, VA 22313-1450

Application No.	10/001,639
Filing Date	October 31, 2001
First Named Inventor	Liu He
Art Unit	1775
Examiner Name	G.A. Blackwell-Rudasill
Attorney Docket Number	4327P005

**This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR § 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** [Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).]
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2666.
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) and any additional claims fee(s)
- ii. ☐ Extension of time fee (37 C.F.R. § 1.136 and 1.17)
- iii. ☒ Other: Third month extension of time balance (\$530.00)
- b. ☒ Check in the amount of \$1,300.00 enclosed 06/24/2004 AWONDAF1 00000033 10001639
- c. ☐ Payment by credit card (Form PTO-2038 enclosd) 01 FC:1801 770.00 0P
- WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	William Thomas Babbitt	Registration No. (Attorney/Agent)	39,591
Signature	<i>William T. Babbitt</i>	Date	6/16/04

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type)	Nedy Calderon	Date	6/16/04
Signature	<i>Nedy Calderon</i>		

Based on PTO/SB/30 (09-03) as modified by Blakey, Sokolosky, Taylor & Zalman (w/r) 02/10/2004.  
SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-145006/24/2004 09:07:5304 AWONDAF1 00000033 10001639  
06/24/2004 AWONDAF1 00000033 10001639  
06/24/2004 AWONDAF1 00000033 10001639

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06/24/2004

06/24/2004



Attorney's Docket No. 004327.P005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu He, et al.

Application No. 10/001,639

Filed: October 31, 2001

For: RADIATION CURABLE ANTI-REFLECTIVE  
COATING SYSTEM

Examiner: Blackwell-Rudasill, G.A.

Art Unit: 1775

PRELIMINARY AMENDMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In connection with the filing of the Request for Continued Examination (RCE),  
Applicants respectfully request entry of the following amendment.

Reply Ref: 09/07/2004 MAILING 0000444800  
025:022666 Name/Number:10001639  
FC: 5204 \$050.00 07

06/24/2004 AMONDAF1 00000033 10001639

02-FC:1253 530.00 0P

09/07/2004 MAILING 00000000 10001639  
025:022666 Name/Number:10001639  
FC: 5204 \$050.00 07

09/07/2004 MAILING 00000000 10001639

025:022666 Name/Number:10001639  
FC: 5204 \$050.00 07